Application No.: 09/888,719

Office Action Dated: November 12, 2004

This listing of claims will replace all prior versions, and listings, of claims in the application.

## **Listing of Claims:**

1. (currently amended) A method for regulating e-mail, comprising:

maintaining profile information for a plurality of registered e-mail sources that have registered to forward e-mail to a destination, said profile information comprising for each registered e-mail source statistics regarding e-mails previously received from the registered e-mail source;

maintaining category information for a plurality of e-mail source categories, said category information comprising for each e-mail source category statistics regarding e-mails previously received from the e-mail source category;

receiving an e-mail;

identifying a an e-mail source for of the e-mail;

determining from the profile information if the e-mail source is a registered source; if the e-mail source is a registered source,

updating the profile information aggregate e mail statistics maintained for the e-mail source to reflect receipt of the e-mail.

comparing the <u>profile information for the e-mail source aggregate e-mail</u> statistics to target e-mail statistics; and

if the <u>profile information for the e-mail source is aggregate e-mail statistics are</u> not acceptable in comparison to the target e-mail statistics, taking a remedial action; and

if the e-mail source is not a registered source, identifying one of said plurality of e-mail source categories.

updating the category information for the identified e-mail source category to reflect receipt of the e-mail,

comparing the category information for the identified e-mail source category to target e-mail statistics, and

if the category information for the identified e-mail source category is not acceptable in comparison to the target e-mail statistics, taking a remedial action.

**PATENT** 

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2. (currently amended) The method of claim 1, wherein identifying a <u>an e-mail</u> source of the e-mail comprises identifying the domain name from which the e-mail was received.

- 3. (currently amended) The method of claim 1, wherein updating the profile information aggregate e-mail statistics maintained for the e-mail source to reflect receipt of the e-mail comprises updating a counter of the number of e-mails received from the e-mail source.
- 4. (currently amended) The method of claim 3, wherein comparing the <u>profile</u> information for the e-mail source aggregate e mail statistics to target e-mail statistics comprises comparing the counter of the number of e-mails received from the <u>e-mail</u> source to a benchmark value for the counter.
- 5. (currently amended) The method of claim 1, wherein taking remedial action comprises sending an e-mail to at least one of the postmaster and administrator at the <u>e-mail</u> source.
- 6. (currently amended) The method of claim 1, wherein taking remedial action comprises returning the e-mail to the <u>e-mail</u> source.
- 7. (currently amended) The method of claim 1, wherein updating the category information for the identified e-mail source category comprises updating a counter of the number of e-mails received from e-mail sources in the identified e-mail source category further comprising identifying for the e-mail source, a corresponding source group having target e-mail statistics.
- 8. (currently amended) The method of claim 1, further comprising: identifying a profile of acceptable e-mail characteristics for e-mails received from the e-mail source;

comparing the e-mail to the profile of acceptable e-mail characteristics; Page 4 of 11

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if the e-mail is not acceptable in comparison to the acceptable e-mail characteristics, notifying at least one of the postmaster and administrators at the <u>e-mail</u> source.

- 9. (original) A computer readable medium having stored thereon instructions for performing the method as recited in claim 1.
- 10. (currently amended) A method for regulating e-mail, comprising:

  maintaining statistics regarding e-mails that are received from each of a plurality of email sources that are registered to send e-mails;

maintaining statistics regarding e-mails that are received from each of a plurality of e-mail source categories;

receiving an e-mail;

identifying a an e-mail source of for the e-mail;

determining if the e-mail source is one of the plurality of e-mail sources that are registered to send e-mails;

if the e-mail source is one of a plurality of e-mail sources that are registered,

updating aggregate e-mail the statistics maintained for the registered e-mail source to reflect receipt of the e-mail, and

comparing the statistics maintained for the registered e-mail with a first target, and

if the comparison of the statistics maintained for the registered e-mail with the first target is not satisfactory, notifying at least one of the postmaster and administrator at the e-mail source; and

if the e-mail source is not one of the plurality of e-mail sources that are registered,

identifying for the <u>e-mail</u> source, <u>one of the plurality of e-mail source</u> categories, a corresponding source group having target e-mail statistics;

updating statistics maintained for the one of the plurality of e-mail source categories to reflect receipt of the e-mail, and

comparing the aggregate e mail statistics maintained for the one of the plurality of e-mail source categories with the source group a second target e mail statistics; and

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if the comparison of the aggregate e-mail statistics maintained for the one of the plurality of e-mail source categories with the second target is not satisfactory do not fall within the source group target e-mail statistics, notifying at least one of the postmaster and administrator at the e-mail source.

- 11. (currently amended) The method of claim 10, wherein updating aggregate e-mail statistics comprises updating a statistic tracking e-mail volume received from the e-mail source to reflect receipt of the e-mail,
- 12. (currently amended) The method of claim 10, further comprising: identifying a profile of acceptable e-mail characteristics for e-mails received from the e-mail source;

comparing the e-mail to the profile of acceptable e-mail characteristics; <u>and</u>
if the e-mail is not acceptable in comparison to the acceptable e-mail characteristics,
notifying administrators at the <u>e-mail</u> source.

13. (currently amended) A method for regulating e-mails, comprising:

maintaining statistics regarding e-mails that are received from each of a plurality of email sources that are registered to send e-mails;

maintaining statistics regarding e-mails that are received from each of a plurality of e-mail source categories;

comparing the statistics regarding e-mails that are received to benchmark values; and if the statistics regarding e-mails do not comply with the benchmark values, taking remedial action.

14. (currently amended) A method for regulating electronic messages, comprising:

maintaining statistics regarding electronic messages that are received from each of a plurality of electronic message sources that are registered to transmit electronic messages;

maintaining statistics regarding electronic messages that are received from each of a plurality of electronic message source categories;

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receiving an electronic message;

identifying a source of the electronic message;

determining if the electronic message source is one of the plurality of electronic message sources that are registered to transmit electronic messages;

if the electronic message source is one of a plurality of e-mail sources that are registered,

updating aggregate electronic message the statistics maintained for the one of the plurality of electronic message sources source to reflect receipt of the electronic message,;

comparing the aggregate electronic message statistics to target electronic message statistics; and

if the aggregate electronic message updated statistics maintained for the electronic message source are not acceptable in comparison to the target electronic message statistics, taking a remedial action;

if the electronic message source is not one of a plurality of e-mail sources that are registered,

updating statistics maintained for <u>one of the plurality of electronic message</u> source categories to reflect receipt of the electronic message, and

if the aggregate electronic message updated statistics maintained for the one of the plurality of electronic message source categories are not acceptable in comparison to target electronic message statistics, taking a remedial action.

15. (original) The method of claim 14 wherein the electronic message is an e-mail.

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16. (original) The method of claim 14 wherein the electronic message is an instant message.